

Example of a completed form. Do not submit for processing.

Blank Form: PRINTDOC, SGLAUTHFID

The options selected below are examples only.

# Edward Jones

Acme Company Inc.

U/A Dtd 02/22/01 401K

FBO John Smith

321 Main Street

Anytown, MO 63131

Account #: 321-45678 Date: 01-01-2012

Account Class Code: 04-Trust

Financial Advisor #: 654321 Branch #: 54321

Destination: New Accounts

## Fiduciary/Trust Account Authorization and Agreement Form

### W9 Certification:

Under penalties of perjury, I certify that (1) the number displayed below is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me), (2) that I am not subject to backup withholding because (a) I have not been notified by the IRS that I am subject to backup withholding as a result of failure to report all interest or dividends, or (b) the IRS notified me that I am no longer subject to backup withholding, (3) I am a U.S. person (including a U.S. resident alien), and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. \* Please note: If the IRS notified you that you are subject to backup withholding because of underreporting (and notice has not been terminated by the IRS), please cross out statement (2) above.

34-5678910 (Company Tax ID Number)

Acme Company Inc. 401K Plan

Social Security Number/Tax Identification Number

Print Individual's Name/Entity's Name registered w/ IRS

\*FATCA codes are only applicable to accounts maintained outside of the United States.

### Account Authorization

By my/our signature(s) below, I/we have read, received and understand the Edward Jones Account Agreement and agree to its terms, and have received the Schedule of Fees, Margin Disclosure Statement and Statement of Credit Terms, Privacy Notice, and Revenue Sharing Disclosure. I understand that this document allows my financial advisor to accept my/our verbal instructions to initiate and/or terminate the services described. I understand if I utilize any of the following services, a) Overdraft Protection; b) Personal Line of Credit Loan, or c) Write Your Own Loan, that a margin (loan) account will be opened for me in accordance with Regulation T of the Federal Reserve Board. If credit is extended to me in connection with these services, the assets in my account will be used to collateralize the loan and Edward Jones will have a security interest in those assets. Borrowing against securities has its risks and is not appropriate for everyone. If the value of my securities declines, I may be required to deposit cash or additional securities or the securities in my account may be sold to meet the margin. I understand that by electing any of the above services, I am not obligated to purchase securities on margin. I/we can decline to have these services and any loan features associated with my account by checking the box below.

I decline to have any Margin Loan features associated with my account.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

**THE EDWARD JONES ACCOUNT AGREEMENT CONTAINS, ON PAGE 4 IN SECTION VI, A BINDING ARBITRATION PROVISION WHICH MAY BE ENFORCED BY THE PARTIES.**

Signature and capacity of authorized person(s): (Example, John Doe, Trustee / Mary Smith, Custodian)

X Signature of Sam Doe Capacity of Sam Doe: Trustee 01-01-2012  
Signature Capacity (required) Date

Printed Name of Sam Doe SSN of Sam Doe: \_\_\_\_\_  
Printed Name Social Security Number

X Signature of John Smith Capacity of John Smith: Participant 01-01-2012  
Signature Capacity (required) Date

Printed Name of John Smith SSN of John Smith: \_\_\_\_\_  
Printed Name Social Security Number

X \_\_\_\_\_ Capacity (required) \_\_\_\_\_  
Signature Date

\_\_\_\_\_ Social Security Number  
Printed Name